


FILED IN THE OFFICE OF CITY CLERK
ON 30TH DAY OF April 20 18
AT 2:55 PM

CITY CLERK

STATEMENT OF FINANCIAL INFORMATION
Reference Section 2-7-72, Austin City Code

STATEMENT OF FINANCIAL INFORMATION
MAYOR, COUNCIL, CANDIDATES

This form should be filed by the following City officials -- **Mayor, City Council Members, and Candidates** -- for the period of January 1 through December 31, **2017**.

Filing Deadlines:

- **Mayor & Council Members** must file the statement (covering the previous calendar year) not later than April 30 of each year.
 - Except that outgoing Mayor & Council Members who have not been re-elected must file the statement (covering the previous calendar year) not later than the 30th day after the end of their term in office.
- **Candidates** must file the statement (covering the previous calendar year) within five working days after the deadline for filing for their respective offices.
 - Except that incumbent Candidates are not required to refile if an identical statement covering the previous calendar year has already been filed.
- **For all filers:** Statements must be received by the City Clerk by 4:45 p.m. on the day stated above. When the deadline falls on a Saturday, Sunday, or City holiday, the deadline is extended to 4:45 p.m. on the next day which is not a Saturday, Sunday, or City holiday.

This statement must be signed under oath and notarized. This form may be copied if additional space for reporting is needed. In reporting information required by this form, a City official shall include the same information as it pertains to his or her spouse or domestic partner, by separate listing. However, a separate report for the City official's spouse or domestic partner is not required.

Where a monetary amount or value of income of an asset is required to be reported, the exact amount need not be reported. The statement may instead include the category of amount as follows:

- | | |
|--------------|-------------------------------------------------|
| Category I | At least \$1 but less than \$10,000; |
| Category II | At least \$10,000 but less than \$20,000; |
| Category III | At least \$20,000 but less than \$50,000; |
| Category IV | At least \$50,000 but less than \$75,000; |
| Category V | At least \$75,000 but less than \$100,000; |
| Category VI | \$100,000 or more, report to nearest \$100,000. |

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Name of City official: **Stephen I. Adler**

Address: [REDACTED]

Occupation: **Mayor**

Spouse or domestic partner's name: **Diane T. Land**

Address: [REDACTED]

Occupation: **Real Estate**

The person completing this form must provide the information requested below for themselves and their spouse/domestic partner.

1. List all sources of occupational income which exceeded 10% of your gross income or \$5,000 in salary, bonuses, commissions or professional fees; or \$20,000 in payment for goods, products or non-professional services per source.

Name of employer or source of income	Business address	Nature of occupation or business	Category of amount
DT Land Group, Inc.	2414 Exposition Blvd, D-200, 78703	Real Estate	III

2. If you are a self-employed solo practitioner or if you had at least 5% interest in a partnership, professional corporation or other entity through which you do business, list the names and addresses of clients or customers from whom you or this partnership, professional corporation, or entity received 10% or more of gross income or \$5,000 in salary, bonuses, commissions or professional fees; or \$20,000 in payment for goods, products or non-professional services during the reporting period.

Name of client or customer	Address
DT Land Group, Inc. Clients:	
21044 Sherman Way, LLC	P.O. Box 4583 Glendale, CA 91222-0583
Halama, LLC	P.O. Box 4583 Glendale, CA 91222-0583
LS Exchange, Ltd.	4330 Gaines Ranch Loop #100 Austin, TX 78735

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William Bradfield Cummins	5802 Highland Pass Austin, TX 78731
The Estate of Don Cummins	5802 Highland Pass Austin, TX 78731
Buda Mill & Grain Co.	306 S. Main St, Suite 102 Buda, TX 78610
Cavanaugh Austin Properties, Ltd.	106 W. 32 nd St. Austin, TX 78705
Falconhead620, LLC	13604 Caldwell Dr. #66 Austin, TX 78750
Kimsters, Inc.	1800 Ridgemont Dr. Austin, TX 78723
Ovillia35, LLC	252 S. Beverly Dr., Suite C Beverly Hills, CA 90212
Stockdale Family Partnership, LLC	11823 Village Park Circle Houston, TX 77024
TransTexas Investments, LLC	310 Joliet Ave. San Antonio, TX 78209
Westenfield Development Company	2414 Exposition Blvd., Suite BC 200 Austin, TX 78703
Donald H & Betty Ann Cummins	3926 Eck Lane Austin, TX 78734
Wilder Properties, Ltd.	3704 Meadowbank Drive Austin, TX 78703
DJ Interests, Ltd.	515 So. Congress, Suite 106 Austin, TX 78704
Armstrong Buttercup Creek, L.P.	c/o Armstrong Holdings, Inc. Rt. 3, Box 105 Cameron, TX 76520
Cedar Park Plaza Associates	c/o Elliot Megdal and Associates 252-C South Beverly Dr. Beverly Hills, CA 90212
Lakeway Plaza Partners	c/o Elliot Megdal and Associates 252-C South Beverly Dr. Beverly Hills, CA 90212
Lakeway Plaza Partners II	c/o Elliot Megdal and Associates 252-C South Beverly Dr. Beverly Hills, CA 90212
The Poage Family Partners, Ltd.	3312 River Road Austin, TX 78703

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Twenty-Six Doors, Inc.	c/o Ascension 1300 W 34 th St. Austin, TX 78705
F. F. Universe, LLC	6428 Williams Ridgeway Austin, TX 78731
The Junior League of Austin	5416 Parkcrest, Suite 100 Austin, TX 78731
Lakeway Plaza Combined, LLC	c/o Elliot Megdal & Associates 252 South Beverly Drive, Suite C Beverly Hills, CA 90212
900 Red River	c/o Oknok Advisors 10573 Pico Blvd., Suite 243 Los Angeles, CA 90064
UT Law School Foundation	727 E. Dean Keaton Street Austin, TX 78705

3. List all sources of income which exceeded either \$5,000 or were in excess of 10% of your gross income received from interest, dividends, royalties, rents, trust disbursements or other non-occupational sources.

Name of source	Nature of income	Category of amount
	*** Information not yet available for 2017, estimate based on 2016 **** Information not yet available for 2017, estimate provided by entity	
Leander 70 Partners, Ltd.	Partnership income	II*
Cullen/Frost 401k Plan A	Interest & Dividend	III
Riverside Resources Holdings I, LP	Partnership income	I**
Frost Investment Account SIA	Dividend & Interest income	III
CAIS Millennium USA, LLC	Interest, Dividend & Partnership income	III
Barron & Adler Land Cattle Company	Partnership income	III
Alternative Investments Institutional Ltd. (IRA-DTL)	Interest, Dividend & Partnership income	III *
Barron & Adler LLP	Interest & Capital Gains	VI - \$400,000
DT Land Group, Inc.	S-corp income	III
Schwab Roth Conversion IRA-DTL	Dividends & Capital Gains	II
Wesco Holdings LLC	Interest	I

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LS Exchange, Ltd.	Partnership income	II
Hulen Place Multifamily LP	Partnership income	VI - \$100,000
Houston Heights Offices LLC	Partnership income	VI - \$100,000
Spoon Media, Inc.	Capital Gains	III
Schwab IRA Rollover- SIA	Dividend, Interest income & Capital gain income	III
Landmark Dividend Growth Fund – J LLC	Dividend	II
Frost SIA Separate	Dividend & Interest income	III

4. Identify any source (person, business entity or other organization) of a gift of any money or other thing of value exceeding \$100, or identify any source who gave you a series of gifts the total value of which exceeds \$100. You need not report campaign contributions which are reported as required by other law and you need not report gifts received from the following relatives: Spouse, Children, Children-in-Law, Parents, Parents-in-Law, Grandchildren, Grandchildren-in-Law, Grandparents, Grandparents-in-Law, Brothers, Brothers-in-Law, Sisters, Sisters-in-Law, Uncles, Uncles-in-Law, Aunts, Aunts-in-Law, Nephews, Nephews-in-Law, Nieces, Nieces-in-Law, First Cousins, First Cousins-in-Law

Name of source of gift	Category amount
None	

5. List the names of any corporation, partnership, limited partnership, or other entity in which you held, owned, acquired, or sold stock, or any other equity ownership having a value exceeding \$5,000 or equivalent to 5% or more of the stock or equity in the entity, at any time during the reporting period.

Name of company or entity:
Community Property:
MPSME Temple, Ltd.
Invest Jake, LP
Invest Jake GP LC
603 West Ninth, LP
West Ninth, LLC
SPP Covington Point, Ltd.
Verb, Inc.
Spoon Media Inc. (sold not held at year end)
The Next One's on Me, Inc. DBA Loop and Tie

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Umbel Corp.
Austin CAVU Partners, LLC
Houston Heights Offices, LLC
S.A. Hardy Oaks, L.P.
Leander 70 Partners, Ltd.
L 70, LLC
Riverside Resource Holdings I, LP
Riverside Resource Holdings II, LP
Adland Horizons, LLC
Simon Equator, LLC
Moonshots Capital Fund
Landmark Dividend Growth Fund-J LLC
Hulen Place Multifamily, LP
Equity Estates Fund I
Equity Estates Fund II
Schwab account Joint:
Schwab Money Market Fund
BBH Limited Duration I
Powershare FTSE RAFI US
Cullen/Frost 401k Plan A
American Beacon Stephens Small Cap Growth Fund (purch 836)
Artisan High Income Fund (formerly Artisan Partners High Income Ad)
Harding Loevner Emerging Mkts Adv (sold 114 units, purch 118 units)
John Hancock III Disciplined Mid Cap Value Fund Institutional
Federated Govt Obli Fd-PRM P
Frost Low Duration Bond Fund
SEI Daily Inc Tr Gov
Frost Growth Equity Fund Class I
Frost Credit Institutional Fund
Frost Total Return Bond Fund
Harding Loevner International Eq
Hartford Floating Rate Fund
Hartford World Bond I Fund
Blackrock S+P 500 Stk Master CLK
Frost Value Equity Fund
T. Rowe Price Mid Cap Growth
Invesco Dev Markets Fund Instl
Lazard International Equity Portfolio
T. Rowe Price International Disco
Victory Small Company Opportunity

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Harding Loevner Emerging Markets
Victory Sycamore Small
Athena Equity 2002, LP
Cohen & Steers Realty Shares
ishares S+P 500 Index Fd
Adler Separate:
Schwab account Adler
Schwab Advisor Cash Reserve Premier Sweep
Schwab Money Market
PowerShares FTSE RAFI US 1000
First Eagle Overseas
DFA Emerging Markets Small Cap
Aberdeen Emrg Mkts Instl
Boston Partners Long/Short Eq Inst
Frost Investment Account Adler
Salient MLP & Energy Infrastructure Fund II
Federated Prime Obligation
Vanguard Ltd-Term Tax-Ex Admiral Class
Templeton Global Bond Adv
iShares S&P MidCap 400 Index
iShares S&P Small Cap 600 Index
Aberdeen Emrg Mkts Instl
Barron & Adler Land Cattle Company
808 Nueces, LLC
CAIS Millennium USA, LLC
Sealy Strategic Equity Partners
Schwab Roth Conversion IRA-SIA
Schwab Money Market Fund
Schwab Advisor Cash Reserve Premier Sweep
Vida Longevity Fund LP Class A
Wesco Holdings LLC
Land Separate:
Schwab account Land
Schwab Money Market Fund
Schwab Advisor Cash Reserve Premier Sweep
First Eagle Overseas (sold not held at year end)
Boston Partners Long/Short Equ Inst (sold not held at year end)
BBH Limited Duration Fund

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Alternative Investments Inst'l-IRA-DTL
LS Exchange, Ltd.
DT Land Group, Inc.
Schwab Roth Conversion IRA-DTL
Schwab Money Market Fund
Schwab Advisor Cash Reserve Premier Sweep
BBH Limited Duration Fund (sold not held at year end)
DFA International Core Equity (sold not held at year end)
DFA Emerging Markets Small Cap (sold not held at year end)
DFA US Core Eqty 1 Port (sold not held at year end)
Aberdeen Emerging Mkts Instl
AQR Style Premia Alt Fnd (sold not held at year end)
Alt Invt Institutional Ltd (sold not held at year end)
Ovation Alt Income Fund Private LP

6. List and describe all bonds, notes and other commercial paper which you held, owned, acquired or sold, at any time during the reporting period if the combined face value of the bonds, notes and commercial paper exceeded \$5,000.

Description of commercial paper	Category of amount
None	

7. List all other income or revenue in excess of \$5,000 per source.

Name of source	Category of amount
None	

8. List and describe all real property in which you hold any legal or beneficial interest, including real property for which you have entered into a contract for sale. The description should be sufficient to locate the property, and include the street address if any, and the present use of the property.

Street address of property	Description of property	Present use of property
(Community Property) [REDACTED]	Unit 2605 Block 21 Condominiums Amended plus .4477% in Com Area AB ST/SUB ID C01935	Personal Residence
(Community Property) [REDACTED]	Unit 2604 Block 21 Condominiums Amended	Personal Residence

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	plus .1096% int Com Area AB ST/SUB ID C01935	
(Community Property) 862 Carroll Street #4 Brooklyn, NY 11215	Unit 4 in the building known as The 862 Carroll Street Condominium with a 21% undivided interest in the common elements	Rental Property
(Community Property) 4315 Dunning Lane Austin, TX 78746	Lot 3, Block D, resubdivision of Lot 24, Block D West Rim Subdivision; Volume 88, pages 384-386 of the plat records of Travis County, Texas	Undeveloped Residential Lot
(Community Property) N/A	Fractional 1/12 ownership, Unit 1703, Esperanza Resort, Cabo San Lucas, Mexico	Recreational
(Adler Separate) #3 Wildwind Point Austin, TX 78746	Lot 12 of Westlake Highlands, Section five (5), Phase two (2), revised; Volume 31, page 2 of the plat records of Travis County, Texas	Undeveloped Residential Lot

9. List and describe all real property held, owned, acquired or sold, or under a contract for sale, by a corporation, partnership, limited partnership, professional corporation, or other entity in which you own or control at least a 5% interest. The description should be sufficient to locate the property and include a street address, if any, and the present use of the property.

Street address of property	Description of property	Present use of property
(Community Property) Crystal Falls Pkwy Leander, TX	3.68 acres	Undeveloped Land
(Community Property) New Briggs Ranch, Burnet County, TX	261.74 acres more or less out of and part of the G. C. & S.F.R.R. Co. Survey No. 41, Abstract No. 1238, the I.J. Clark Survey No. 1439, Abstract No. 1418, the E.L. Webb Survey no. 412, Abstract No. 962, the A.D. Tyler Survey No. 1179, Abstract No. 1106, and the Isaac Reynolds Survey No. 76, Abstract No. 721, situated in Burnet Co, TX	Undeveloped Land

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(Adler Separate) 808 Nueces St. Austin, TX 78701	E 44FT of Lot 5 Block 103 Original City	Business office
(Community Property) 3809 S. General Bruce Dr. Temple, TX 76502	A0345BC G GIVENS, OB 455, K-MART, ACRES 3.402	Commercial Strip Center
(Community Property) 603 W 9 th St Austin, TX 78701	W 25 FT OF LOT 5 & E15 FT OF LOT 6 BLOCK 103 Original City	Rental Property
(Community Property) 1421 Heights Blvd Houston, TX 77008	Houston Heights, Block 156, Lot 7, Harris County	Rental Property - sold by year end
(Adler Separate) 8656 W HWY 71 Austin, TX 78736	2.586 acres, Unit 1-B Wesco Condominiums plus 50.0% interest in common area; 8656 W HWY 71 TX 78736	Undeveloped land
(Land Separate) 10,000 Research Blvd., #500 Austin, TX 78759	2.2228 acres, ABS 521 Sur 17 Mitchel J M acr 2.222, N Capital of Tx Hy	Rental Property

10. List all loans and extensions of credit in excess of \$5,000 on which you are the lender or creditor, including the name of the debtor and the rate of interest, if any.

Name of obligee	Rate of interest, if any	Category of amount
Horace & Belva Green	6.75%	II
Bigo George Vonon	5.00%	III
2014 Hallettsville Trust	1.85%	VI- \$300,000
Adler for Austin Campaign	0.00%	VI- \$400,000
B & A Parent P.C.	2.82%	VI- \$2,000,000

11. List all loans or transactions in excess of \$5,000 on which you are a guarantor or co-signer, including the names of the borrower and lender.

Name of obligee/lender		Rate of interest, if any	Category of amount
Frost Bank	George Bigo Vonon	Floating	VI - \$600,000
Michael Barron	New Briggs Ranch	6%	VI - \$200,000
Plains Capital Bank	Invest Jake, LP	4.75%	V
Wells Fargo	Karen & Justin Short	4.625%	VI - \$600,000

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12. List all loans, debts, and other financial liabilities you have which are in excess of \$5,000 which are presently outstanding or which existed at any time during the reporting period.

Name of lender/creditor/obligee	Rate of interest, if any	Category of amount	Date obligation was incurred
Compass Bank	3.75%	VI - \$1,500,000	3/12
Compass Bank	3.75%	VI - \$500,000	10/12
Frost Bank	4.9%	VI - \$300,000	8/02 (pd off in 2017)
Frost Bank (Separate)	LOC	VI - \$250,000	Annual
Frost Bank (Joint)	LOC	VI - \$800,000	Annual

List all loans, debts, and other financial liabilities in excess of \$5,000 of any corporation, partnership, limited partnership, professional corporation or other entity in which you own or control at least a 5% interest, which are presently outstanding or which existed at any time during the reporting period.

Name of lender/creditor/obligee	Rate of interest, if any	Category of amount	Date obligation was incurred
Plains Capital Bank	4.5%	VI - \$300,000	5/09
Plains Capital Bank	4.75%	VI - \$600,000	8/16

13. List all boards of directors of which you are a member and the offices or executive positions which you hold in corporations, partnerships, limited partnerships, professional corporations, or other entities, including non-business entities. (Do not include positions on corporations or other entities owned by the City of Austin or created by the City Council.)

Name of organization	Position held
Steve Adler:	
Houston Heights Office LLC	President
West Ninth LLC	President
Wesco Holdings LLC	President, Secretary
Invest Jake GP LC	Manager, Secretary
Adland Horizons, LLC	Member
Diane Land:	
Ballet Austin Foundation	Trustee
DT Land Group, Inc.	President
West Ninth LLC	Secretary, Chief Executive Officer
Invest Jake GP LC	Manager

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Wesco Holdings LLC	Assistant Secretary
Adland Horizons, LLC	Member

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I do solemnly swear that the preceding Financial Statement is in all things true and correct and fully shows all information required to be reported pursuant to City Code Section 2-7-72 for the reporting period indicated.

Stephen I. Adler

Signature of City Official

Date: 4/30/18

Stephen I. Adler
Printed Name of City Official

STATE OF TEXAS

COUNTY OF TRAVIS

This instrument was acknowledged, sworn to, and subscribed before me by

Stephen Adler

On the 30 day of April, 2018, to certify which witness my hand and official seal.

Regina Prado

Notary Public in and for the State of Texas

Regina Prado

Typed or Printed Name of Notary Public

