

STATEMENT OF FINANCIAL INFORMATION
JULY UPDATE

OCC RECEIVED AT
JUL 31 '18 PM 1:04

This form is required to be completed by the **Mayor and City Council Members** for the period of January 1 through June 30 of the current year (2018).

This mid-year update to your annual statement of financial information must be filed with the City Clerk by 4:45 p.m. on July 31 of each year. When July 31 falls on a Saturday, Sunday, or City holiday, the deadline is extended to 4:45 p.m. on the next day which is not a Saturday, Sunday, or City holiday.

The report must be signed under oath and notarized. This form may be copied to provide additional space for reporting as needed. In reporting information required by this form, a City official shall include the same information as it pertains to his or her spouse or domestic partner, by separate listing. However, a separate report for the City official's spouse or domestic partner is not required.

Per City Code Section 2-7-72, when completing this mid-year update to your annual statement, **only include changes** in your disclosed information that constitute a "substantial interest" under City Code Section 2-7-2(10) and (11) that have occurred since your last filed annual Statement of Financial Information.

Where a monetary amount or value of income of an asset is required to be reported, the exact amount need not be reported. The statement may instead include the category or amount as follows:

- | | |
|--------------|---|
| Category I | At least \$1 but less than \$10,000; |
| Category II | At least \$10,000 but less than \$20,000; |
| Category III | At least \$20,000 but less than \$50,000; |
| Category IV | At least \$50,000 but less than \$75,000; |
| Category V | At least \$75,000 but less than \$100,000; |
| Category VI | \$100,000 or more, report to nearest \$100,000. |

Name of City official: Stephen I. Adler

Address: [REDACTED]

Occupation: Mayor

Spouse or domestic partner's name: Diane T. Land

Address: [REDACTED]

Occupation: Real Estate

The person completing this form must provide the information requested below for themselves and their spouse/domestic partner.

1. List all changes in sources of occupational income which exceeded 10% of your gross income or \$5,000 in salary, bonuses, commissions or professional fees; or \$20,000 in payment for goods, products or non-professional services per source.

Name of employer or source of income	Business address	Nature of occupation or business	Category or amount
None			

2. If you are a self-employed solo practitioner or if you had at least 5% interest in a partnership, professional corporation or other entity through which you do business, list all changes in the names and addresses of clients or customers from whom you or this partnership, professional corporation, or entity received 10% or more of gross income or \$5,000 in salary, bonuses, commissions or professional fees; or \$20,000 in payment for goods, products or non-professional services during the reporting period.

Name of client or customer	Address
Delete: Halama, LLC	P.O. Box 4583 Gendakle, CA. 91222-0583
Delete: LS Exchange, Ltd.	4330 Gaines Ranch Loop #100 Austin, TX. 78735
Delete: Ovillia35, LLC	252 S. Beverly Dr., Suite C Beverly Hills, CA. 90212
Delete: Donald II & Betty Ann Cummins	3926 Eck Lane Austin, TX. 78734
Delete: Cedar Park Plaza Associates	c/o Elliot Megdal and Associates 252-C South Beverly Dr. Beverly Hills, CA. 90212
Delete: Lakeway Plaza Partners II	c/o Elliot Megdal and Associates 252-C South Beverly Dr. Beverly Hills, CA. 90212
Delete: F.F. Universe, LLC	6428 Williams Ridgeway Austin, TX. 78731
Delete: UT Law School Foundation	727 E. Dean Keaton Street Austin, TX. 78705

3. List all changes in sources of income which exceeded either \$5,000 or were in excess of 10% of your gross income received from interest, dividends, royalties, rents, trust disbursements or other non-occupational sources.

Name of source	Nature of income	Category or amount
None		

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4. List all changes in the names of any corporation, partnership, limited partnership, or other entity in which you held, owned, acquired, or sold stock, or any other equity ownership having a value exceeding \$5,000 or equivalent to 5% or more of the stock or equity in the entity at any time during the reporting period.

Name of company or entity
Community Property:
Houston Heights Offices, LLC no longer exists, asset was sold
S. A. Hardy Oaks, L.P. no longer exists, assets sold
Dunning Lot 3 LLC (new entity that existing real estate was transferred to)
Schwab Account Joint: Name change from Powershare FTSE RAFI US to Invesco FTSE RAFI US 1000 (PRF)
Cullen/Frost 401k Plan --holdings sold and transferred to IRA Rollover except for Athena Equity 2002, LP is still held in this account
Schwab SIA IRA Rollover: Bought: CAIS Millenium Intl DF AQR Style Premia Alt Fund DFA US Core Eqty 1 Port First Eagle Overseas Sold: Vida Longevity Fund LP
Separate Property: Diane Land
Schwab Account Land holdings were transferred to a joint account
Sold: LS Exchange, Ltd.
Separate Property: Stephen Adler
Schwab Account Adler holdings were transferred to a joint account
Frost Investment Account Adler Sold: Salient MLP & Energy Infrastructure Fund II Sold: Vanguard Ltd-Term Tax-Ex Admiral Class Sold: Templeton Global Bond Adv Bought: AMG Managers Doubleline Core Plus 1

5. List all other income or revenue in excess of \$5,000 per source not previously disclosed in your annual disclosure or elsewhere on this form.

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Name of source	Category or amount
None	

6. List and describe all changes in real property in which you hold any legal or beneficial interest having a market value of \$5,000 or more including real property for which you have entered into a contract for sale. The description should be sufficient to locate the property, and include the street address if any, and the present use of the property.

Street address of property	Description of property	Present use of property
Following property transferred to Dunning Lot 3, LLC: 4315 Dunning Lane Austin, TX 78701	Same	Same

7. List all changes in loans and extensions of credit in excess of \$5,000 on which you are the lender or creditor, including the name of the debtor and the rate of interest, if any.

Name of obligee	Rate of interest, if any	Category or amount
Localuer, Inc. (Convertible Promissory note)	8.00%	III

8. List all changes in loans or transactions in excess of \$5,000 on which you are a guarantor or co-signer, including the names of the borrower and lender.

Name of obligee	Name of lender	Rate of interest, if any	Category or amount
Michael Barron	New Briggs Ranch	6%	VI-\$200,000 paid off

9. List all changes in loans, debts, and other financial liabilities you have which are in excess of \$5,000 which are presently outstanding or which existed at any time during the reporting period.

Name of lender/creditor/obligee	Rate of interest, if any	Category or amount	Date obligation was incurred
Plains Capital Bank	4.5%	VI - \$300,000	5/09 paid off 4/18

10. List all changes in loans, debts, and other financial liabilities in excess of \$5,000 of any corporation, partnership, limited partnership, professional corporation or other entity in

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which you own or control at least a 5% interest, which are presently outstanding or which existed at any time during the reporting period.

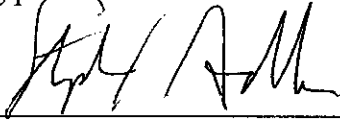
Name of lender/creditor/obligee	Rate of interest, if any	Category or amount	Date obligation was incurred
None			

11. List all changes in boards of directors of which you are a member and the offices or executive positions which you hold in corporations, partnerships, limited partnerships, professional corporations, or other entities, including non-business entities. (Do not include positions on corporations or other entities owned by the City of Austin or created by the City Council.)

Name of organization	Position held
Steve Adler:	
Delete: Houston Heights Office LLC	President
Add: Dunning Lot 3, LLC	Manager
Diane Land:	
Add: Huston-Tillotson University	Trustee
Add: Dunning Lot 3, LLC	Manager

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I do solemnly swear that the preceding Financial Statement is in all things true and correct and fully shows all information required to be reported pursuant to City Code Section 2-7-72 for the reporting period indicated.



Signature of City Official

Date: 7/31/18

Stephen I. Adler

Printed Name of City Official

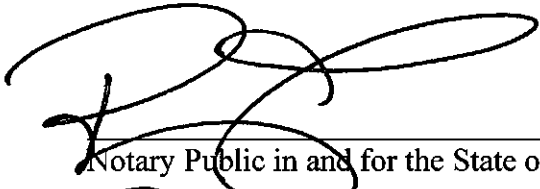
STATE OF TEXAS

COUNTY OF TRAVIS

This instrument was acknowledged, sworn to, and subscribed before me by

Stephen I. Adler

On the 31st day of July, 2018, to certify which witness my hand and official seal.



Notary Public in and for the State of Texas

Rose Marie Martinez

Typed or Printed Name of Notary Public

